



HARMONY SECURITIES LIMITED

(TRADING LICENSE HOLDER)

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ACCOUNT OPENING FORM (CORPORATE)

Company Details

Company Name _____
Registration Date _____ Rc No _____ CHN _____
Office/Business Address _____
Postal Address _____
E-mail Address _____
Alt E-mail Address _____
Occupation _____
Contact Person _____ Phone No _____

Valid means of Identification

National ID Card ☐ National Driver's License ☐ International Passport ☐ Others (please specify) _____
ID No. _____ ID Issue Date _____ ID Expiry Date _____

National ID Card ☐ National Driver's License ☐ International Passport ☐ Others (please specify) _____
ID No. _____ ID Issue Date _____ ID Expiry Date _____

Bank Details

Bank Name _____ Bank Acct No. _____ BVN _____
Bank Account Name _____
Date of Creation of bank Account _____ Account Type _____
Signing Instruction _____

DISCLAIMER

We hereby confirm that all information given above are true and genuinely given to the best of our knowledge. Harmony Securities Limited should not be liable for any risk resulting from any form false declaration of the above supplied information.

Authorized Signatory (ies)

Name _____ Signature _____ Date _____
Name _____ Signature _____ Date _____

NOTE: Kindly bring forward Board Resolution, both original & clear photocopy of your Certificate of Incorporation, Valid Identity Card (authorized signatory) and Utility Bill of Office address.

FOR OFFICIAL USE ONLY

Application Received By _____ Sign _____ Date _____

Client Introduced By _____



HARMONY SECURITIES LIMITED
(Member of the Trading License Holder)

INDEMNITY

I/We....., hereby authorize Harmony Securities Limited, hereinafter referred to as the 'Company', to dispose, sell or charge any asset(s) that may be held by the Company on my/our account to liquidate or offset any indebtedness or liability due from me/us to the Company and I/We hereby agree and shall at all time keep the Company and any of its Officers fully indemnified against any loss, claims, liabilities, expenses and costs (including reasonable legal cost) which may be incurred or suffered by the Company arising out of or in connection with any transaction entered into by the Company on my/our behalf. My/Our undertaking herein shall be irrevocable until any and / or all obligations due from me/us to the Company would have been fully settled.

RISK WARNING

1. HARMONY SECURITIES LIMITED is not acting as an advisor. Therefore, in making your decision, you are aware that investments in shares involve a very high degree of risk and is therefore a speculative investment of which you are able to bear the economic risk of investing in and holding such shares.
2. You have such knowledge and experience in financial, business and investment matters as to enable you to evaluate the merits and risks of an investment in shares or have sought and consulted with the independent advisers on the investment and are satisfied with their qualified assessment.
3. You are aware that your investment is subject to prevailing market forces and as such may rise or fall significantly. It is important to note that even if a security performed well in the past, it does not mean that it will continue to or perform better in future.
4. You have complied with all the requisite laws of the Federal Republic of Nigeria in making your investment and have not utilized proceeds of unlawful activities to do so. You shall indemnify HARMONY SECURITIES LIMITED from any liability that may arise from your use of proceeds obtained from unlawful activities for any investment undertaken on your behalf by HARMONY SECURITIES LIMITED.

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CLIENT INVESTMENT OBJECTIVES

In accordance with the Securities and Exchange Commission (SEC) Rules, Nigerian Exchange (NGX) requirements, and Harmony Securities Limited's Client Suitability Assessment Policy, kindly indicate your **primary investment objective** by selecting **one (1)** of the following:

☐ **Capital Preservation**

To preserve the value of your invested capital with minimal exposure to investment risk.

☐ **Income Generation**

To generate regular income through dividends, interest, or other investment returns.

☐ **Capital Appreciation (Growth)**

To achieve long-term growth in the value of your investment by accepting moderate to high levels of market risk.

☐ **Balanced Growth and Income**

To pursue a combination of long-term capital growth and regular income while maintaining a moderate risk profile.

Client's Primary Investment Objective: _____

Reason for Investment (Optional): _____

Client Name: _____

Client Signature: _____

Date: _____

For Official Use Only

The above investment objective has been discussed with the client and will be considered in determining the suitability of investment recommendations and services provided by Harmony Securities Limited.

Relationship Officer: _____

Signature: _____

Date: _____